



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hughes et al. ✓
Serial No. 09/746,322 ✓
Filed: December 21, 2000 ✓
Title: NOVEL NAPHTHOPYRAN COMPOUNDS, PHOTORESPONSIVE
COMPOSITIONS AND TENSES ✓

Examiner: Unknown
Group Art Unit: 1756
Docket No. 589.011US1

4-19-01
#2 IDS

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b) or (c)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is either filed before the mailing date of the first Office Action on the merits or it is submitted after the mailing date of the first Office Action on the merits but before the mailing date of a final Office Action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. No fee is due since this statement is submitted either before the mailing date of the first Office Action on the merits or is certified under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(c)(1), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counter part foreign application not more than three months prior to the filing of the information disclosure statement.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is

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not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1391.

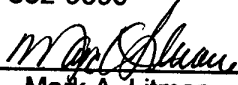
Respectfully submitted,

FRANK J. HUGHES et al.

By their Representatives,

MARK A. LITMAN & ASSOCIATES, P.A.
York Business Center, Suite 205
3209 West 76th Street
Edina, Minnesota 55435
(952) 832-9090

Date: March 21, 2001

By: 
Mark A. Litman
Reg. No. 26,390

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Letter is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 21, 2001.

Mark A. Litman
Name


Signature



GAU 1756

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Washington, D.C. 20231

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The following documents are being submitted:

- ☒ Information Disclosure Statement
- ☒ Form 1449
- ☒ Copies of 8 reference(s)
- ☒ Transmittal Sheet
- ☒ Return postcard

MARK A. LITMAN & ASSOCIATES, P.A.
York Business Center, Suite 205, 3209 W. 76th St.
Edina, MN 55402 (952-832-9090)

By: Mark A. Litman
Atty: Mark A. Litman
Reg. No. 26,390

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CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 21, 2001.

Mark A. Litman
Name

Mark A. Litman
Signature